Critical Care



This Provisional PDF corresponds to the article as it appeared upon acceptance. Copyedited and fully formatted PDF and full text (HTML) versions will be made available soon.

Risk Factors for the Development of Acute Lung Injury in Patients with Infectious Pneumonia

Critical Care 2012, 16:R46 doi:10.1186/cc11247

Marija Kojicic (kojicic.marija@gmail.com)
Guangxi Li (li.guangxi@mayo.edu)
Andrew C Hanson (hanson.andrew@mayo.edu)
Kun-Moo Lee (aneslkm@inje.ac.kr)
Lokendra Thakur (lokendrat2001@yahoo.com)
Jayanth Vedre (vedre.jayanthgopalreddy@mayo.edu)
Adil Ahmed (ahmed.adil@mayo.edu)
Larry M Baddour (baddour.larry@mayo.edu)
Jay H Ryu (ryu.jay@mayo.edu)
Ognjen Gajic (gajic.ognjen@mayo.edu)

ISSN 1364-8535

Article type Research

Submission date 28 August 2011

Acceptance date 14 March 2012

Publication date 14 March 2012

Article URL http://ccforum.com/content/16/2/R46

This peer-reviewed article was published immediately upon acceptance. It can be downloaded, printed and distributed freely for any purposes (see copyright notice below).

Articles in Critical Care are listed in PubMed and archived at PubMed Central.

For information about publishing your research in *Critical Care* go to

http://ccforum.com/authors/instructions/

Risk Factors for the Development of Acute Lung Injury in Patients with Infectious Pneumonia

Marija Kojicic^{1,2}, Guangxi Li^{1,3}, Andrew C Hanson⁴, Kun-Moo Lee MD^{1,5}, Lokendra Thakur ¹, Jayanth Vedre¹, Adil Ahmed¹, Larry M. Baddour⁶, Jay H. Ryu¹ and Ognjen Gajic^{1,*}

¹ The Division of Pulmonary and Critical Care Medicine, Department of Medicine, Mayo Clinic College of Medicine, 200 First Street SW, Rochester, MN 55905, USA

² Urgent Pulmonology Department, The Institute for Pulmonary Diseases of Vojvodina, Institutski put 4, Sremska Kamenica 21204, Serbia

³Department of Pulmonary Medicine, Guang An Men Hospital, China Academy of Chinese Medical Science, 5 BeiXianGe Street, Beijing 100053, China

⁴The Division of Biostatistics, Department of Health Sciences Research, Mayo Clinic College of Medicine, 200 First Street SW, Rochester, MN 55905, USA

⁵Department of Anesthesiology, Paik Hospital, College of Medicine, InJe University, Gaegeum 2-dong, Busanjin-gu, Busan 614-735, South Korea

⁶The Division of Infectious Diseases, Department of Medicine, Mayo Clinic College of Medicine, 200 First St SW, Rochester, MN 55905, USA

^{*}Corresponding author: gajic.ognjen@mayo.edu

Abstract

Introduction: While pneumonia has been identified as the single most common risk factor for acute lung injury (ALI) we have a limited knowledge as to why some patients with pneumonia develop ALI and others do not. The objective of this study was to determine frequency, risk factors and outcome of ALI in patients with infectious pneumonia.

Methods: A retrospective cohort study of adult patients with microbiologically positive pneumonia, hospitalized at two Mayo Clinic Rochester hospitals between January 1st 2005 and December 31st 2007. In a subsequent nested-case control analysis we evaluated the differences in pre-hospital and intra-hospital exposures between patients with and without ALI/ARDS matched by specific pathogen, isolation site, gender and closest age in a 1:1 manner.

Results: Study included 596 patients, 365 (61.2%) were men. The median age was 65 (IQR, 53-75) years. 171 patients (28.7%) were diagnosed with ALI. The occurrence of ALI was less frequent in bacterial (n=99/412, 24%) compared to viral (n=19/55, 35%), fungal (n=39/95,41%) and mixed isolates pneumonias (n=14/34, 41%, p=0.002). After adjusting for baseline severity of illness and comorbidities, patients who developed ALI had a markedly increased risk of hospital death (OR_{adj} 9.7; 95%CI, 6.0-15.9). In a nested case-control study, presence of shock (OR 8.9, 95%CI 2.8-45.9), inappropriate initial antimicrobial treatment (OR 3.2, 95%CI 1.3-8.5) and transfusions (OR 4.8, 95% CI 1.5-19.6) independently predicted ALI development.

Conclusions: The development of ALI among patients hospitalized with infectious pneumonia varied among pulmonary pathogens and was associated with increased mortality. Inappropriate initial antimicrobial treatment and transfusion predict the development of ALI independent of pathogen.

Introduction

Despite recent improvements in supportive treatment, acute lung injury (ALI) remains a devastating syndrome, with pneumonia as the most common predisposing condition [1]. Although recent data demonstrated temporal improvement in survival, the mortality in ALI patients still remains high [2][3].

Since therapeutic options are limited and the majority of intervention strategies are focused on supportive treatment, emphasis has been placed on identifying patients who are at higher risk for ALI [4, 5]. Patients with ALI represent a heterogeneous group of patients with regards to predisposing conditions [6] that differ in pathophysiological changes [7, 8], clinical and radiological [9] characteristics as well as treatment options [10, 11], but the data on specific risk factors in subsets of patients according to predisposing causes are limited. While sepsis, particularly pulmonary in origin, is the most common underlying risk factor for ALI, only a small proportion of hospitalized patients with pneumonia develop the complication (<10%) [4]. Defining risk factors associated with the development of ALI in patients with infectious pneumonia is challenging because virulence factors of different pathogens have been implicated in causing lung damage [12, 13]. In patients with pneumonia, the relationship between ALI and specific pathogens has been mainly described in case series and case reports of ARDS in patients with Legionella, certain types of viral and fungal pneumonia suggesting that some pathogens are particularly prone to induce lung injury. A recent study of critically ill patients demonstrated that pulmonary infection was associated with a higher risk of developing ARDS as compared to infections at non-pulmonary sites [14].

Systematic data regarding occurrence, risk factors and outcome of ALI/ARDS in patients with infectious pneumonia are lacking.

The objective of the present study is to determine the frequency and outcome of ALI in a retrospective cohort of hospitalized patients with microbiology proven pneumonia and identify pre-hospital and hospital exposures that may predict the development of ALI independent of pathogen.

Materials and methods

We used validated queries of Mayo Clinic electronic medical record database (Mayo Clinic Life Sciences System) [15, 16] to identify consecutive patients with microbiologically positive pneumonia who were hospitalized at the two Mayo Clinic Rochester hospitals between January 1, 2005 and December 31, 2007. The Institutional Review Board approved the study protocol and waived the need for informed consent in this observational study. To be included in the study, patients had to be at least 18 years of age and have a diagnosis of pneumonia with identified pathogens according to the *International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9-CM)* (ICD codes 480.0-480.8, 481-482.89, 483-484.8, 487.0, 114.0, 115.5, 116.0 and 136.3). If a patient had more than one episode that met inclusion criteria, only the first episode was included. Electronic medical records including portable digital chest radiographs and microbiology reports were independently reviewed in order to confirm the diagnosis of pneumonia and pathogen isolation. Pneumonia was defined as a new or progressive infiltrate as seen on a chest radiograph or CT scan along with a high clinical suspicion of

pneumonia defined with at least one of the following: fever (>38°C or >100.4°F), leukopenia (<4000 WBC/mm3) or leukocytosis (>12,000 WBC/mm3), altered mental status with no other recognized cause (for adults >70 years old) and at least two of the following: (1) new onset of purulent sputum, or change in character of sputum, or increased respiratory secretions, or increased suctioning requirements, (2) new onset or worsening cough, or dyspnea, or tachypnea, (3) rales or bronchial breath sounds, and (4) worsening gas exchange, increased oxygen requirements, or increased ventilation demand [17, 18]. The onset of pneumonia (the time of diagnosis of pneumonia) was defined by the first recorded time of any criterion when the above criteria were met.

Microbiological etiology

Patients were included if there had been recovery of one or more respiratory pathogens from: a) an uncontaminated specimen (blood, pleural fluid, transtracheal aspirate, transthoracic aspirate or surgical lung biopsy specimen); b) positive serology defined as elevated IgM antibodies or fourfold increases in IgG antibody titers; c) positive urinary antigen test; d) positive PCR; e) semi-quantitative cultures of a lower respiratory tract sample (endotracheal aspirate, bronchoalveolar lavage-BAL, or protected specimen brush); f) expectorated sputum culture.

We identified ALI patients with a validated electronic syndrome surveillance tool (ALI "sniffer") that was developed for early recognition of patients meeting inclusion criteria for ARDS-net trials. The negative predictive value 99.6% (95% CI 99.3–99.8) of the electronic alert had been determined in a previous study against the gold standard of prospective assessment by trained intensivist researchers, blinded to the ALI electronic alert. The details of the ALI electronic alert have been previously published [16]. All

patients with a positive ALI "sniffer" were reassessed and ALI diagnosed by an independent review of portable chest radiographs, arterial blood gases and hemodynamic parameters based on American/European consensus conference definition [19]. For adequate interpretation of radiologic studies in the diagnosis of ALI, the abstractors reviewed a structured ALI tutorial prior to study onset. Interrater reliability for diagnosing ALI was assessed in previous studies (kappa value of 0.8)[20]. The timing of ARDS was determined by the first recorded time of either criterion when both criteria (PaO2/FIO2, bilateral infiltrates) were met.

To identify the risk factors for ALI development, in a subsequent nested casecontrol study, patients who developed ALI more than 6 hours after pneumonia onset were matched to patients at risk who did not develop ALI based on specific pathogen, isolation site, gender and closest age in a 1:1 matching. If an appropriate control was not found for a specific pathogen, matching was for the same genus, family and finally pathogen group (G-, G+, atypical bacteria, viruses and fungi). Patients with coinfections were matched to appropriate controls in same fashion (all pathogens were matched). Since preliminary data suggested increased risk of ALI among P. jirovecii pneumonia, these cases were matched to specific pathogen regardless of coinfection. The matching was conservative to provide as perfect as possible matches. Among 171 cases of ALI, 118 were diagnosed with ALI more than 6 hours after pneumonia onset of whom 112 were matched according to above criteria. We extracted data from the pre-existing hospital electronic database which included integrated microbiological and susceptibility results, vital signs as well as laboratory parameters, comorbidities, and use of medications. Risk factors were compared between patients who developed ALI and matched controls. For quality assurance, we performed random checks of electronic database entries. Standard definitions were used for shock [21], inappropriate antimicrobial treatment [22]; and transfusions [23]. We used Pneumonia severity index (PSI) [24] to assess baseline severity of illness and Charlson score [25] as a measure of comorbidities. Arterial oxygen saturation measured by pulse oximetry (SpO2) was recorded if there was a delay in obtaining arterial blood gas analysis and SpO2/FIO2 ratio was used to substitute PaO2/FIO2 ratio (SpO2/FIO2<315 corresponds to PaO2/FIO2<300)[26]. To assure similar exposure time to possible risk factors between cases and controls the exposure time for each control was matched to the exposure time of the corresponding case. That means data on possible intra-hospital exposures of cases were collected from onset of pneumonia to onset of ALI while in controls data were tabulated for the same number of hours subsequent to onset of pneumonia.

We excluded patients with pneumonectomy and ventilatory associated pneumonia (VAP). VAP patients were excluded to eliminate the possibility of including patients with pneumonia complicating ALI and limit effect-cause bias. Patients with potentially contaminated blood culture (bacteremia cases with a single positive blood culture of coagulase negative staphylococci) were also excluded from the analysis.

Statistical analysis

Continuous variables were compared using the Student's t-test for normally distributed variables and the Wilcoxon rank-sum test for non-normally distributed variables. The Chi square or Fisher's exact tests were used to compare categorical variables. In a retrospective cohort of pneumonia patients the association between

pathogens and ALI occurrence, and ALI and hospital mortality was assessed in univariate followed by multivariate logistic regression analysis, after adjusting for baseline severity of illness. In a subsequent nested case-control study of 112 ALI cases and 112 matched controls, paired parametric and nonparametric testing were used as appropriate, followed by a conditional logistic regression to investigate the relationship between ALI and specific baseline characteristics and in-hospital exposures. Selection of the variables for conditional logistic regression model was done considering both clinical plausibility, and statistical criteria (significance, colinearity and inter-action). All p values of <0.05 were considered to indicate statistical significance. SAS statistical software (SAS version 9; SAS Institute, Inc., Cary, NC) was used for statistical analysis.

Results

Figure 1 shows the flow of study participants. The cohort included 596 patients, 365 (61.2%) were men. The median age was 65 (interquartile range [IQR], 53-75) years. The etiology of pneumonia was mostly bacterial (n=412, 69%), followed by fungal (n=95, 16%), viral (n=55, 9%), and mixed pathogens (n=34, 6%). Co-infections were present in 131 cases (22%). The pathogens were most commonly isolated from sputum 206 (28.2%), tracheal aspirate 151 (20.6%), bronchoalveolar lavage (BAL) fluid 126 (17.3%), blood 92 (12.6%), and bronchial washings 46 (6.3%).

Baseline characteristics of patients are presented in Table 1. One hundred and seventy-one patients (28.7%) were diagnosed with ALI. ALI less commonly occurred in patients with community-acquired pneumonia (CAP) (n=61, 21.8%) compared to those

with healthcare-associated (HCAP) (n=53, 31.6%, p=0.02) and hospital-acquired pneumonias (HAP) (n=57, 38.5%, p<0.001). Among 448 patients who had evidence of pneumonia at the time of hospital admission, 43 (9.6%) presented with ALI on admission of whom 21 (49%) were transferred from another hospital; in the remainder, the median time to development of ALI was 2 (IQR 1-3) days.

The occurrence of ALI was less frequent in bacterial pneumonias (n=99/412, 24%) compared to viral (n=19/55, 35%), fungal (n=39/95, 41%) and mixed isolates pneumonias (n=14/34, 41 %) (p=0.002). Figure 2 displays the frequency of ALI according to the most common pathogens. Similar results were obtained when coinfections were excluded from the analysis. When the analysis was restricted to bacteremic patients, Gram-positive and Gram-negative bacterial infections had a similar frequency of ALI (20 vs. 15%, p=0.75). All patients diagnosed with respiratory syncytial virus (RSV) pneumonia (4/4) developed ALI. Pneumonia due do Pneumocystis jiroveci and Blastomyces species was associated with increased risks of ALI when compared to S. pneumoniae, the most common cause of pneumonia, in both univariate (OR 3.35, 95% CI 1.5-7.61, OR 4.41, 95% CI 1.1-19.4, respectively) and multivariate analyses (OR 3.8, 95% CI 1.65-8.93, OR 5.6, 95% CI 1.3-26.2, respectively), after adjusting for baseline severity of illness (pneumonia severity index). Patients who developed ALI had a markedly increased risk of hospital death in both univariate (OR_{adi} 9.2; 95% CI, 5.8-14.8) and multivariate analysis after adjusting for baseline severity of illness and comorbidities (Charlson score) (OR_{adi} 9.7; 95% CI, 6.0-15.9).

The association between previously proposed risk factors for the development of ALI was examined in 112 patients who had no ALI at the time pneumonia was diagnosed

who were matched by specific pathogen, age and gender. The characteristics of ALI cases and controls are presented in Table 2. Patient groups were similar in terms of comorbidities expressed as cumulative Charlson score. No difference was found in frequency of diabetes between cases and controls. Patients who developed ALI were more likely to present with bilateral infiltrates. Fifty-one patients (45.5%) that presented with unilateral infiltrate later progressed to ALI. ALI cases had lower SaO2/FiO2 at the baseline and higher baseline pneumonia severity index. Most of patients with RSV and PCP infections were immunosuppressed (30/32). The median time to any antibiotic administration was similar in cases and controls but inappropriate initial antimicrobial treatment was associated with increased risk of ALI (Table 2). The transfusion of fresh frozen plasma, platelets and red blood cells were all associated with ALI development.

When adjusted for baseline imbalances (shock, SpO2/FiO2, type of pneumonia) in a conditional logistic regression analysis inappropriate antimicrobial treatment (OR 3.2, 95%CI 1.3-8.5) and transfusions (OR 4.8, 95% CI 1.5-19.6) were independently associated with the development of ALI (Table 3). The results were similar after adjustment for PSI (as a composite measure of baseline severity of illness) (Table 4).

Patients who developed ALI were more likely to be mechanically ventilated, had longer hospital length of stay and a markedly increased risk of hospital death (Additional file 1). In a post-hoc analysis we explored the association between the prehospital use of certain medications (statins, ACE-inhibitors and antiplatelet drugs) that were found to modify development of ALI in previous studies. When adjusted for adequate antibiotics, transfusion and PSI in conditional logistic regression analysis, the use of statin was associated with a decreased risk of ALI (OR 0.36, 95% CI 0.14-0.92).

Discussion

The results of this study suggest that ALI is common in hospitalized pneumonia patients with positive microbiological diagnosis. In addition, patients with bacterial pneumonia had lower rates of ALI compared to fungal, viral and mixed infections. When controlled for age, gender and specific pathogen, independent predictors of ALI in pneumonia patients are baseline severity of illness, inappropriate initial antimicrobial treatment and transfusion.

ALI rates in our study were higher compared to a previous study of hospitalized patients with clinically defined pneumonia by Ferguson and colleagues (10%) [4]. This could be due to the inclusion, in our study, of only patients with a high clinical suspicion of pneumonia and identified isolates which has been described in less than 50% of hospitalized patients with pneumonia [27]. In addition, most of our patients had an infectious agent isolated from lower respiratory tract secretions indicating that this population probably represents a group of more severely ill patients. Recent report of infection-related ARDS in patients with sepsis, pneumonia and bacteremia yielded results similar to ours [14].

In our study, the main causes of pneumonia were *S.aureus* (with high percentage of MRSA), *S.pneumoniae and P. aeruginosa*. Similar results were obtained by Kollef et al [28]. Among most common pathogens no difference in rates of ALI was found. We observed higher proportion of ALI occurrence in patients with less common infections due to fungal and viral respiratory pathogens. The higher rate of ALI among these pathogen groups likely relates to *P. jiroveci*, and specific endemic fungi, including

Blastomyces species and viral infections (RSV, cytomegalovirus). Possible explanations for this higher risk include delayed antimicrobial treatment as these pathogens are often not treated with initial empiric antimicrobial coverage, as well as baseline characteristics of the patients since these infections more commonly occur in those who are immunocompromised. Progression to ALI is likely a result of complex interaction of patient immune status and specific pathogen [29]. On the other hand, when adjusted for specific pathogen, immunosupression did not influence progression to ALI. However, these results must be interpreted with caution since matching by specific pathogen is similar to matching by immune status or other baseline risk factors for these pathogens, that precludes analyzing the significance of these risk factors for developing ALI. Unfortunately, our study design does not allow further assessment of independent effects of pathogen on risk for ALI development. Although there are numerous reports of Legionella pneumonia-induced ARDS, our study failed to confirm increased risk of ALI in these patients. It is possible that early initiation of empirical antimicrobial coverage for atypical bacteria as proposed in current pneumonia guidelines has reduced this devastating complication in patients with Legionella pneumonia. We observed no case of ALI in the course of pneumonia caused by other "atypical" bacteria.

Several studies have shown that ALI is rarely present at the time of hospitalization and usually develops in hours to days following hospital admission [4, 30]. The evolution of ALI could be influenced by both baseline characteristics of the patients (first hit) as well as a variety of intra-hospital exposures (second hit) [31]. Certain pre-hospital exposures (alcohol, smoking) [32, 33], medical errors (delayed shock resuscitation, delayed antibiotic treatment) [30] and iatrogenic exposures (plasma

transfusion from alloimmunized donors, gastric aspiration, certain chemotherapeutic drugs) [23, 34, 35] have all been associated with development of ALI in hospitalized patients. Similar to other studies [35] we observed higher baseline severity of illness among ALI cases. Considering baseline characteristics, patients with ALI were more often smokers, although statistical difference was not reached. This could be due to a fact that we used patients' history to obtain information on smoking. In a recent study by Hsieh [36] serum and urine nicotine metabolites identified considerably more active smokers than did smoking history. Active and passive cigarette smoking was found to be associated with ALI after severe blunt trauma, when biomarker of tobacco smoking was used to assess smoking history [37]. Unlike several other studies [32, 38] the history of alcohol abuse did not influence risk of ALI. In addition, while majority of studies implicated diabetes to have a protective role [39, 40], no difference in number of diabetics was found between the cases and controls in our study.

The highest percentage of ALI was found among patients with healthcare-associated pneumonia (HCAP) and hospital-acquired pneumonia (HAP), suggesting that health-care related exposures could have contributed to the development of ALI. When matched for age, gender and pathogen characteristics, and adjusted for baseline severity of illness, the major interventions that were associated with an increased risk of ALI were transfusions and inappropriate initial antimicrobial therapy. Previous studies demonstrated increased risk of ALI in patients with delayed treatment of infection [30]. The time of antibiotic initiation did not influence ALI development in our study as most patients received antibiotics in the emergency department or immediately following admission, however ALI was associated with inappropriate initial antimicrobial therapy.

In patients with pneumonia, inappropriate initial antibiotic treatment noticeably increases the risk of hospital death [41] [42]. The failure to provide adequate antibiotic treatments is more likely in HCAP and HAP as gram negative bacteria and *S. aureus* are major pathogens in these patients [28]. In addition to antimicrobial resistance [41], it seems that patients with HCAP are often not empirically treated for these organisms, resulting in suboptimal antibiotic therapy and increased mortality [43]. Although shock was clearly associated with ALI development, the association of delayed goal-directed resuscitation could not be examined due to a relatively low number of shock patients among controls. The observed association between transfusion and lung injury has been demonstrated in number of studies [40, 44, 45]. The observed association between the use of statins and the decreased risk of ALI is consistent with the recent report by O'Neal et al[46]. Contrary to a previous report in a population-based cohort of non-selected patients at risk of ALI [47], the use of antiplatelet agents in patients with infectious pneumonia was not associated with decreased risk of ALI.

Severe pneumonia is associated with high rates of morbidity and mortality [48-51]. The majority of these studies failed to account for ALI as well as its role in the course of pneumonia and patient outcomes. Our work demonstrated that the development of ALI was independently associated with increased mortality after adjusting for baseline severity of illness and comorbidities. This is particularly important since multiple factors influence ALI development and are potential targets for preventing this devastating syndrome.

Our study has several limitations. First, enrollment was limited to microbiologically proven pneumonia. Since the majority of patients with pneumonia do

not undergo any microbial testing, some patients with pneumonia were excluded and may have biased our study population to more severe cases that underwent such evaluation. Diagnosis of atypical pneumonia is difficult due to fastidious nature of atypical organisms; sensitivity of cultures is low, serological assays are poorly standardized [52], and PCR is not generally available [27]. Because only semi-quantitative analysis of lower respiratory secretions were available, differentiation between microbiologically definite and probable pneumonia was not possible in all patients. On the other hand, since ALI/ARDS has also noninfectious etiologies (aspiration, organizing pneumonia, transfusion induced lung injury [TRALI], acute eosinophilic pneumonia, diffuse alveolar hemorrhage and other interstitial lung diseases), we believe that isolation of a microbiological agent strengthened the diagnosis of infectious pneumonia and prevented the inclusion of non-infectious lung diseases that might have been included using only the clinical definition. Second, since we matched by pathogen we could not properly examine the influence of specific pathogen and immune status on ALI development. It is likely that the results would be different for specific viral (RSV, CMV) or fungal (PCP) pathogens, but limited control data precludes the analysis (since clinicians rarely test immunocompetent patients for these pathogens). Conversely, matching by specific pathogen supports the findings that inappropriate antimicrobial treatment is an independent predictor of ALI and not just a marker of having an atypical pathogen. Third, differentiation between severe bilateral pneumonia and ALI/ARDS may be challenging. Sensitivity and specificity of clinical assessment compared to pathological finding of diffuse alveolar damage (DAD) was found to be poor with the weakest correlation in pneumonia patients [53]. Fourth, we used pneumonia severity index as a composite measure of baseline severity of illness in all patients. The PSI has been validated in CAP and HCAP but not HAP patients. However, the results were similar when adjusted for baseline imbalances (shock, SpO2/FiO2) instead of PSI. Finally, a limitation of our study is inherent in its retrospective design.

Conclusions

In conclusion, to our knowledge, this is the first study to assess the relationship between ALI and specific respiratory pathogens isolated from patients with pneumonia. The results show that patients with confirmed infectious pneumonia are at a high risk of ALI, especially those with certain types of fungal and viral pneumonias. No difference was found in occurrence of ALI among the most common bacterial pathogens suggesting other possible mechanisms that may promote the development of ALI aside from pathogen characteristics. Potentially modifiable health care delivery factors such as antibiotic therapy and transfusion pose significant risk and provide important targets for ALI prevention.

Key messages

- ALI development is common among hospitalized pneumonia patients with positive microbiology.
- The development of ALI among patients hospitalized with infectious pneumonia varies among pulmonary pathogens and is associated with increased mortality.

Inappropriate initial antimicrobial treatment and transfusion of blood

products are modifiable independent predictors of ALI development

in pneumonia patients.

Abbreviations

ALI: Acute lung injury; APACHE: Acute Physiology and Chronic Health Evaluation;

BMI: Body mass index; CAP: Community-acquired pneumonia; CI: Confidence

interval; ICD-9-CM: International Classification of Diseases, 9th Revision, Clinical

Modification; ICU: Intensive care unit; IQR: Interquartile range; HCAP: Healthcare-

associated pneumonia; HAP: Hospital-acquired pneumonia; OR: Odds ratio; PSI:

Pneumonia severity index score; TRALI: Transfusion induced lung injury

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

Study concept and design: MK and OG; Acquisition of data: GL, KML, LT, JV, AA,

MK; Analysis and interpretation of data: MK, GL, OG; Drafting of the manuscript:

MK and OG; Critical revision of the manuscript for important intellectual content:

LB, and JR; Statistical analysis: AH, GL and MK

Acknowledgments

This publication was made possible by Grant Number 1 UL1 RR024150 from the National Center for Research Resources (NCRR), a component of the National Institutes of Health, and the NIH Roadmap for Medical Research. Its contents are solely the responsibility of the authors and do not necessarily represent the official view of the NCRR or NIH. Information on NCRR is available at: http://www.ncrr.nih.gov/. Information on Reengineering the Clinical Research Enterprise can be obtained from: http://nihroadmap.nih.gov.

References:

- 1. Rubenfeld GD, Herridge MS: **Epidemiology and outcomes of acute lung** injury. *Chest* 2007, **131**:554-562.
- 2. Erickson SE, Martin GS, Davis JL, Matthay MA, Eisner MD: **Recent trends in acute lung injury mortality: 1996-2005**. *Crit Care Med* 2009, **37**:1574-1579.
- 3. Spragg RG, Bernard GR, Checkley W, Curtis JR, Gajic O, Guyatt G, Hall J, Israel E, Jain M, Needham DM, Randolph AG, Rubenfeld GD, Schoenfeld D, Thompson, BT, Ware LB, Young D, Harabin AL: **Beyond mortality: future**

- clinical research in acute lung injury. Am J Respir Crit Care Med 2010, 181:1121-1127.
- 4. Ferguson ND, Frutos-Vivar F, Esteban A, Gordo F, Honrubia T, Penuelas O, Algora A, Garcia G, Bustos A, Rodriguez I: Clinical risk conditions for acute lung injury in the intensive care unit and hospital ward: a prospective observational study. Crit Care 2007, 11:R96.
- 5. Garber BG, Hebert PC, Yelle JD, Hodder RV, McGowan J: Adult respiratory distress syndrome: a systemic overview of incidence and risk factors. *Crit Care Med* 1996, **24**:687-695.
- 6. Phua J, Stewart TE, Ferguson ND: Acute respiratory distress syndrome 40 years later: time to revisit its definition. Crit Care Med 2008, 36:2912-2921.
- Pelosi P, D'Onofrio D, Chiumello D, Paolo S, Chiara G, Capelozzi VL, Barbas CS, Chiaranda M, Gattinoni L: Pulmonary and extrapulmonary acute respiratory distress syndrome are different. Eur Respir J Suppl 2003, 42:48-56.
- 8. Hoelz C, Negri EM, Lichtenfels AJ, Concecao GM, Barbas CS, Saldiva PH, Capelozzi VL: Morphometric differences in pulmonary lesions in primary and secondary ARDS. A preliminary study in autopsies. *Pathol Res Pract* 2001, **197**:521-530.
- Goodman LR, Fumagalli R, Tagliabue P, Tagliabue M, Ferrario M, Gattinoni L,
 Pesenti A: Adult respiratory distress syndrome due to pulmonary and
 extrapulmonary causes: CT, clinical, and functional correlations. Radiology
 1999. 213:545-552.

- 10. Lim CM, Jung H, Koh Y, Lee JS, Shim TS, Lee SD, Kim WS, Kim DS, Kim WD: Effect of alveolar recruitment maneuver in early acute respiratory distress syndrome according to antiderecruitment strategy, etiological category of diffuse lung injury, and body position of the patient. Crit Care Med 2003, 31:411-418.
- Taut FJ, Rippin G, Schenk P, Findlay G, Wurst W, Hafner D, Lewis JF, Seeger W, Gunther A, Spragg RG: A Search for subgroups of patients with ARDS who may benefit from surfactant replacement therapy: a pooled analysis of five studies with recombinant surfactant protein-C surfactant (Venticute). Chest 2008, 134:724-732.
- 12. Bauer TT, Ewig S, Rodloff AC, Muller EE: Acute respiratory distress syndrome and pneumonia: a comprehensive review of clinical data. Clin Infect Dis 2006, 43:748-756.
- 13. Martin TR: Direct lung injury by bacteria: clarifying the tools of the trade.

 Crit Care Med 2004, 32:2360-2361.
- 14. Sheu CC, Gong MN, Zhai R, Bajwa EK, Chen F, Taylor Thompson B, Christiani DC: The influence of infection sites on development and mortality of ARDS. *Intensive Care Med* 2010, **36**:963-970.
- 15. Li G, Malinchoc M, Cartin-Ceba R, Venkata CV, Kor DJ, Peters SG, Hubmayr RD, Gajic O: Eight-year trend of acute respiratory distress syndrome: a population-based study in Olmsted County, Minnesota. Am J Respir Crit Care Med 2011, 183:59-66.

- Herasevich V, Yilmaz M, Khan H, Hubmayr RD, Gajic O: Validation of an electronic surveillance system for acute lung injury. *Intensive Care Med* 2009, 35:1018-1023.
- 17. Horan TC, Andrus M, Dudeck MA: CDC/NHSN surveillance definition of health care-associated infection and criteria for specific types of infections in the acute care setting. *Am J Infect Control* 2008, **36**:309-332.
- 18. Bartlett JG, Dowell SF, Mandell LA, File Jr TM, Musher DM, Fine MJ: Practice guidelines for the management of community-acquired pneumonia in adults.

 Infectious Diseases Society of America. Clin Infect Dis 2000, 31:347-382.
- 19. Bernard GR, Artigas A, Brigham KL, Carlet J, Falke K, Hudson L, Lamy M, Legall JR, Morris A, Spragg R, Cochin B, Lanken PN, Leeper KV, Marini J, Murray JF, Oppenheimer L, Pesenti A, Reid L, Rinaldo J: The American-European Consensus Conference on ARDS: Definitions, mechanisms, relevant outcomes, and clinical trial coordination. American Journal of Respiratory and Critical Care Medicine 1994, 149:818-824.
- 20. Cartin-Ceba R, Kojicic M, Li G, Kor DJ, Poulose J, Herasevich V, Kashyap R, Trillo-Alvarez C, Cabello-Garza J, Hubmayr R, Seferian EG, Gajic O: Epidemiology of critical care syndromes, organ failures and life support interventions in a suburban U.S. community. Chest 2011, 140:1447-55.
- 21. Antonelli M, Levy M, Andrews PJ, Chastre J, Hudson LD, Manthous C, Meduri GU, Moreno RP, Putensen C, Stewart T, Torres A: **Hemodynamic monitoring in shock and implications for management. International Consensus**

- **Conference, Paris, France, 27-28 April 2006**. *Intensive Care Med* 2007, **33**:575-590.
- 22. Kollef MH, Sherman G, Ward S, Fraser VJ: Inadequate antimicrobial treatment of infections: a risk factor for hospital mortality among critically ill patients. *Chest* 1999, 115:462-474.
- 23. Gajic O, Rana R, Winters JL, Yilmaz M, Mendez JL, Rickman OB, O'Byrne MM, Evenson LK, Malinchoc M, DeGoey SR, Afessa B, Hubmayr RD, Moore SB:
 Transfusion-related acute lung injury in the critically ill: prospective nested case-control study. Am J Respir Crit Care Med 2007, 176:886-891.
- 24. Fine MJ, Auble TE, Yealy DM, Hanusa BH, Weissfeld LA, Singer DE, Coley CM, Marrie TJ, Kapoor WN: A prediction rule to identify low-risk patients with community-acquired pneumonia. *N Engl J Med* 1997, **336**:243-250.
- 25. Charlson ME, Pompei P, Ales KL, MacKenzie CR: A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chronic Dis* 1987, 40:373-383.
- 26. Rice TW, Wheeler AP, Bernard GR, Hayden DL, Schoenfeld DA, Ware LB:

 Comparison of the SpO2/FIO2 ratio and the PaO2/FIO2 ratio in patients

 with acute lung injury or ARDS. Chest 2007, 132:410-417.
- 27. Bartlett JG: Diagnostic test for etiologic agents of community-acquired pneumonia. Infect Dis Clin North Am 2004, 18:809-827.
- 28. Kollef MH, Shorr A, Tabak YP, Gupta V, Liu LZ, Johannes RS: **Epidemiology** and outcomes of health-care-associated pneumonia: results from a large US database of culture-positive pneumonia. *Chest* 2005, **128**:3854-3862.

- Mizgerd JP: Acute Lower Respiratory Tract Infection. N Engl J Med 2008,
 358:716-727.
- 30. Iscimen R, Cartin-Ceba R, Yilmaz M, Khan H, Hubmayr RD, Afessa B, Gajic O: Risk factors for the development of acute lung injury in patients with septic shock: an observational cohort study. *Crit Care Med* 2008, **36**:1518-1522.
- 31. Matthay MA, Zimmerman GA, Esmon C, Bhattacharya J, Coller B, Doerschuk CM, Floros J, Gimbrone MA, Jr., Hoffman E, Hubmayr RD, Leppert M, Matalon S, Munford R, Parsons P, Slutsky AS, Tracey KJ, Ward P, Gail DB, Harabin AL:

 Future research directions in acute lung injury: summary of a National Heart, Lung, and Blood Institute working group. Am J Respir Crit Care Med 2003, 167:1027-1035.
- 32. Moss M, Bucher B, Moore FA, Moore EE, Parsons PE: The role of chronic alcohol abuse in the development of acute respiratory distress syndrome in adults. *Jama* 1996, **275**:50-54.
- 33. Iribarren C, Jacobs DR, Jr., Sidney S, Gross MD, Eisner MD: Cigarette smoking, alcohol consumption, and risk of ARDS: a 15-year cohort study in a managed care setting. *Chest* 2000, 117:163-168.
- 34. Reed CR, Glauser FL: **Drug-induced noncardiogenic pulmonary edema**. *Chest* 1991, **100**:1120-1124.
- 35. Hudson LD, Milberg JA, Anardi D, Maunder RJ: Clinical risks for development of the acute respiratory distress syndrome. *Am J Respir Crit Care Med* 1995, 151:293-301.

- 36. Hsieh SJ, Ware LB, Eisner MD, Yu L, Jacob P, 3rd, Havel C, Goniewicz ML, Matthay MA, Benowitz NL, Calfee CS: Biomarkers increase detection of active smoking and secondhand smoke exposure in critically ill patients. Crit Care Med 2011, 39:40-45.
- 37. Calfee CS, Matthay MA, Eisner MD, Benowitz N, Call M, Pittet JF, Cohen MJ:

 Active and passive cigarette smoking and acute lung injury after severe blunt

 trauma. Am J Respir Crit Care Med 2011, 183:1660-1665.
- 38. Thakur L, Kojicic M, Thakur SJ, Pieper MS, Kashyap R, Trillo-Alvarez CA, Javier F, Cartin-Ceba R, Gajic O: Alcohol consumption and development of acute respiratory distress syndrome: a population-based study. *Int J Environ Res Public Health* 2009, **6**:2426-2435.
- 39. Moss M, Guidot DM, Steinberg KP, Duhon GF, Treece P, Wolken R, Hudson LD, Parsons PE: **Diabetic patients have a decreased incidence of acute respiratory distress syndrome**. *Crit Care Med* 2000, **28**:2187-2192.
- 40. Gong MN, Thompson BT, Williams P, Pothier L, Boyce PD, Christiani DC: Clinical predictors of and mortality in acute respiratory distress syndrome: potential role of red cell transfusion. *Crit Care Med* 2005, **33**:1191-1198.
- 41. Torres A, Ewig S, Lode H, Carlet J: **Defining, treating and preventing hospital** acquired pneumonia: European perspective. *Intensive Care Med* 2009, **35**:9-29.
- 42. Mandell LA, Wunderink RG, Anzueto A, Bartlett JG, Campbell GD, Dean NC, Dowell SF, File TM, Jr., Musher DM, Niederman MS, Torres A, Whitney CG:
 Infectious Diseases Society of America/American Thoracic Society consensus

- guidelines on the management of community-acquired pneumonia in adults. Clin Infect Dis 2007, 44:27-72.
- 43. Venditti M, Falcone M, Corrao S, Licata G, Serra P: Outcomes of patients hospitalized with community-acquired, health care-associated, and hospital-acquired pneumonia. *Ann Intern Med* 2009, **150**:19-26.
- 44. Zilberberg MD, Carter C, Lefebvre P, Raut M, Vekeman F, Duh MS, Shorr AF:

 Red blood cell transfusions and the risk of acute respiratory distress

 syndrome among the critically ill: a cohort study. Crit Care 2007, 11:R63.
- 45. Khan H, Belsher J, Yilmaz M, Afessa B, Winters JL, Moore SB, Hubmayr RD, Gajic O: Fresh-frozen plasma and platelet transfusions are associated with development of acute lung injury in critically ill medical patients. Chest 2007, 131:1308-1314.
- 46. O'Neal HR, Jr., Koyama T, Koehler EA, Siew E, Curtis BR, Fremont RD, May AK, Bernard GR, Ware LB: Prehospital statin and aspirin use and the prevalence of severe sepsis and acute lung injury/acute respiratory distress syndrome. *Crit Care Med* 2011, **39**:1343-1350.
- 47. Erlich JM, Talmor DS, Cartin-Ceba R, Gajic O, Kor DJ: Pre-hospitalization anti-platelet therapy is associated with a reduced incidence of acute lung injury: A population-based cohort study. *Chest* 2011,139:289-95.
- 48. Yoshimoto A, Nakamura H, Fujimura M, Nakao S: Severe community-acquired pneumonia in an intensive care unit: risk factors for mortality. *Intern Med* 2005, 44:710-716.

- 49. Restrepo MI, Mortensen EM, Velez JA, Frei C, Anzueto A: A comparative study of community-acquired pneumonia patients admitted to the ward and the ICU. Chest 2008, 133:610-617.
- 50. Fine MJ, Smith MA, Carson CA, Mutha SS, Sankey SS, Weissfeld LA, Kapoor WN: Prognosis and outcomes of patients with community-acquired pneumonia. A meta-analysis. *Jama* 1996, 275:134-141.
- 51. Marrie TJ, Shariatzadeh MR: Community-acquired pneumonia requiring admission to an intensive care unit: a descriptive study. *Medicine (Baltimore)* 2007, **86**:103-111.
- 52. Littman AJ, Jackson LA, White E, Thornquist MD, Gaydos CA, Vaughan TL:

 Interlaboratory reliability of microimmunofluorescence test for measurement of Chlamydia pneumoniae-specific immunoglobulin A and G antibody titers. Clin Diagn Lab Immunol 2004, 11:615-617.
- 53. Esteban A, Fernandez-Segoviano P, Frutos-Vivar F, Aramburu JA, Najera L, Ferguson ND, Alia I, Gordo F, Rios F: Comparison of clinical criteria for the acute respiratory distress syndrome with autopsy findings. *Annals of Internal Medicine* 2004, 141:440-5.

Table 1. Baseline characteristics of the cohort

	N=596
Age, median (IQR)	64.5 (53-75)
Male gender, n (%)	365 (61%)
Pneumonia severity index	119 (94-146)
score, median (IQR)	
Charlson comorbidity score,	2 (1-4)
median (IQR)	
Pneumonia type, n (%)	
CAP	280 (47)
НСАР	168 (28)
HAP	148 (25)

Abbreviations: IQR- interquartile range, CAP- community-acquired pneumonia, HCAP-healthcare-associated pneumonia, HAP- hospital-acquired pneumonia

Table 2. Univariate analysis of baseline characteristics and interventions in ALI cases and matched controls

	ALI cases	Controls	Odds ratio	P value
	N=112	N=112		
Baseline characteristics				
Age	64.5	62.0	1.00 (0.98-1.03)	0.65
Median (IQR)	(53.5-72.0)	(53.0-71.5)		
Male gender	56 (50)	61 (55)	1.43 (0.68-3.3)	0.52
N (%)				
BMI	26.7 (24.07-	26.4	1.00 (0.99-1.01)	0.48
Median (IQR)	31.05)	(21.9-30.4)		
Ever smoker	66 (59)	52 (46)	1.24 (0.96-1.65)	0.07
N (%)				
Alcohol abuse	13 (12)	9 (8)	1.28 (0.74-1.93)	0.37
N (%)				
Pneumonia type				0.007*
N (%)				
CAP	33 (29.5)	55 (49)	0.32 (0.15-0.63)	
HCAP	33 (29.5)	29 (26)	1.22 (0.66-2.27)	
HAP	46 (41)	28 (25)	2.10 (1.19-3.86)	
Charlson score	3 (2-4)	2 (1-4)	1.0 (0.88-1.14)	0.26
Median (IQR)				
Aspiration N (%)	8 (7.1)	4 (3.6)	1.84(0.63-6.55)	0.25

Diabetes N (%)	25 (22)	33 (29)	0.71(0.39-1.26)	0.24
Immunosuppression	52 (46.4)	50 (44.6)	1.13 (0.54-2.36)	0.73
N (%)				
Pneumonia severity index	115 (92-142)	101	1.02 (1.01-1.03)	<.001*
score		(76-119)		
Median (IQR)				
Shock N (%)	47 (42)	9 (8)	16.3 (5.47-79.04)	<.001*
Respiratory rate	23 (20-28)	20 (18-24)	1.04 (1.00-1.08)	0.05
Median (IQR)				
SaO2/Fio2	236	336	0.995 (0.993- 0.997	<.001*
Median (IQR)	(107-336)	(247-448)	0.997	
Bilateral infiltrates	61 (54.5)	31 (38.2)	3.67 (1.92-7.61)	<.001*
N (%)				
Pleural effusion	23 (20.5)	17 (15.4)	1.34 (0.68-2.69)	0.38
N (%)				
Interventions				
Time to antibiotics	0 (0-9)	0 (0-3)	1.00 (0.99-1.02)	0.11
(hours),				
Median (IQR)				
Appropriate initial	62 (55.4)	85 (75.9)	0.29 (0.13-0.58)	<.001*
antimicrobial treatment				
N (%)				
Any transfusion	38 (33.9)	15 (13.4)	4.53 (2.08-11.59)	<.001*

N (%)

Platelets	15 (13.4)	4 (3.6)	6.50 (1.47-59.33)	0.0098*
Red blood cells	31 (27.7)	13 (11.6)	4.0 (1.59-11.96)	0.002*
Fresh frozen plasma	10 (8.9)	1 (0.9)	-	0.008*
Corticosteroids systemic N (%)	48 (42.9)	51 (45.5)	0.89 (0.51-1.55)	0.67
Mechanical ventilation N (%)	95 (85)	24 (21)	29.4 (10.2- 141.17)	<.001*
Invasive	80 (71)	19 (17)	18.36 (7.24- 66.69)	
Non-invasive	15 (14)	5 (4)	2.83 (1.13-8.25)	

Abbreviations: BMI-Body mass index, IQR- interquartile range, CAP- community-acquired pneumonia, HCAP- healthcare-associated pneumonia, HAP- hospital-acquired pneumonia

Table 3. Conditional regression analysis of ALI risk factors

	OR	95% CI
Shock	8.9	2.8-45.9
SpO2/Fio2	0.996	0.993-0.999
Inappropriate initial	3.2	1.3-8.5
antimicrobial		
treatment		
Any transfusion	4.8	1.5-19.6
HAP	1.9	0.8-4.5

Abbreviations: HAP- hospital-acquired pneumonia

Table 4. Conditional regression analysis of ALI risk factors

	OR	95% CI
PSI	1.01	1.00-1.03
Inappropriate initial	3.1	1.5-7.0
antimicrobial		
treatment		
Any transfusion	3.2	1.3-8.8
HAP	1.8	0.9-3.8

Abbreviations: HAP- hospital-acquired pneumonia, PSI- Pneumonia severity index

Figure legends

Figure 1. Study flow diagram

Figure 2. Frequency of ALI among the most commonly isolated pathogens

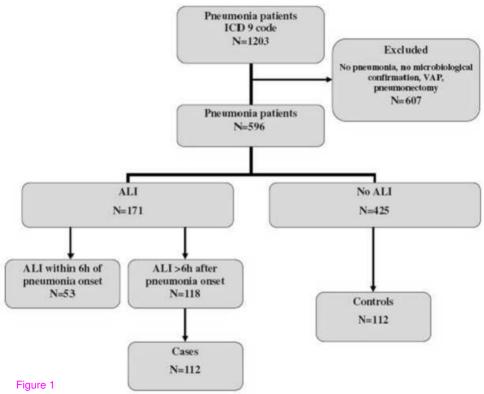
*Coinfections included

Additional files

Additional file 1

Title: Outcomes of ALI cases and matched controls

Description: Table describing the differences in hospital length of stay, duration of mechanical ventilation and hospital mortality between ALI cases and matched controls.



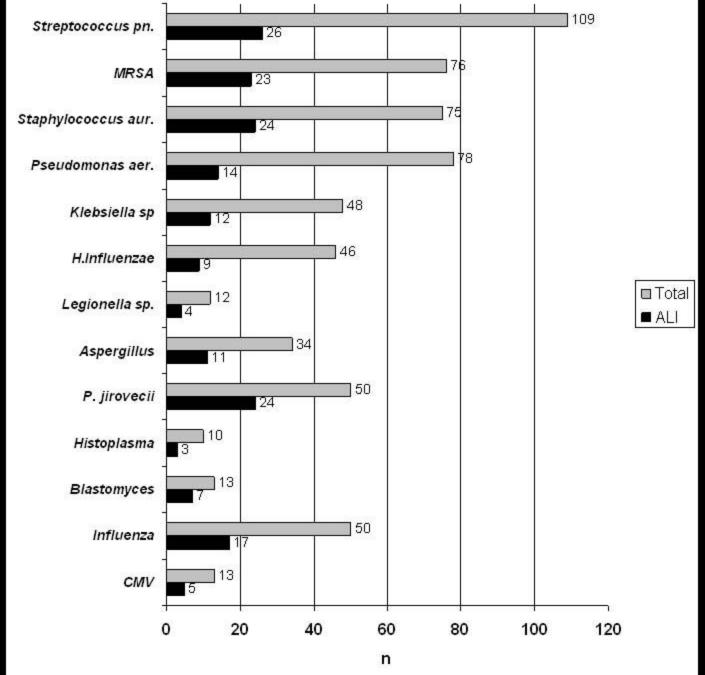


Figure 2

Additional files provided with this submission:

Additional file 1: Additional files.doc, 27K http://ccforum.com/imedia/8884438306930264/supp1.doc